

WATTS, HOFFMANN, FISHER & HEINKE CO., L.P.A.
ATTORNEYS AT LAW

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FACSIMILE TRANSMITTAL

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| To: | Ms. Rita White |
| Organization: | U.S. PTO - Finance Dept. |
| Facsimile No.: | 703-305-3230 |
| From: | Stephen J. Schultz, Esq. |
| Date: | January 4, 2002 / 2/15/02 |
| Our File No.: | 14-694 - 4254 - Serial No. 09/700,606 |
| No. of pages: (including this cover pg.) | 9 |

MESSAGE:

Please advise me of the status of the Request for Refund which was received by the U.S. PTO on April 5, 2001. Copies of all submitted documents are enclosed for your convenience.

☐ (if checked) A confirmation copy of this transmission will follow via U.S. mail.

CONFIDENTIALITY NOTE

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT DIVISION

In re PATENT APPLICATION of: USAMA FAYYAD ET AL.
Serial No.: 09/700,606 Examiner: not yet assigned
Filed: 11/15/00 Art Unit: not yet assigned
Docket: 14-694 - 4254
Title: A SCALABLE SYSTEM FOR CLUSTERING OF LARGE
DATABASES MIXED DATA ATTRIBUTES

Watts, Hoffmann, Fisher
& Heinke Co., L.P.A.
1100 Superior Ave., Ste. 1750
Cleveland, Ohio 44114

Commissioner for Patents and Trademarks
Attn: Finance Dept., Refund Section
P.O. Box 16
Washington, D.C. 20231

UNITED STATES PATENT AND TRADEMARK OFFICE
Patent Service for the United States Patent and Trademark Office
Assistant Commissioner of Patents, Washington, D.C. 20231

4/2/01
Maria Burrows

REQUEST FOR REFUND

Dear Sir:

On the February 2001 deposit account statement for account number 23-0630, we noted numerous charges and credits for this application.

This application is based on a previously filed PCT application. When the national phase U.S. application was filed, a check was sent to the U.S. Patent and Trademark Office that was received on November 15, 2000 in the amount of \$1,002 for the application filing fee. A copy of the check and return postcard is enclosed. This application was filed without a signed declaration. On January 31, 2001 the U.S. Patent and Trademark Office received two checks in the amounts of \$110 and \$130 for filing a Petition for a one month extension of time and for filing the missing Declaration and Power of Attorney from the original filing.

The February 2001 deposit account statement contained two credits, one for \$1046 and one for \$110. Applicant's attorney does not believe that they are entitled to these credits since the filing fee and extension fee was submitted to the PTO unless our deposit account was erroneously charged for these amounts in a previous statement. Also, applicant's attorney respectfully requests an explanation of the inconsistency in the filing fee amount which was sent in to the PTO (\$1002) and the amount credited to our deposit account (\$1046).

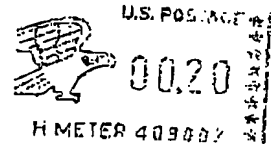
The February 2001 deposit account statement also had one charge for \$130 with the fee code number of 154 which stands for a charge for submitting an Oath or Declaration after the twenty or thirty months from priority date. This second charge should be refunded to deposit account number 23-0630 since a \$130 check was submitted and received by the PTO on January 31, 2001.

Respectfully submitted,

Date March 28, 2001

Stephen Schultz
Stephen J. Schultz
Reg. No. 29,108

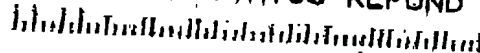
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FILE NO: 14-694 ATTY: SJS
DUE DATE: 06-05-2001 (20010605)
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ACTION: 10 RES PER: 2 M DK1
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44114/2518



PATENT APPLICATION

Applicant: Microsoft Corp. Attorney Docket No.: 14-694
Title: Operating System Client: 7254
Serial No.: 09179065 Filed: 1/15/01
Mailing Date: 4/10/01 Initials: RS Patent No.: _____
Receipt is hereby acknowledged of the following:

- ☐ Transmittal (____ pages)
☐ Provisional Application for Patent Cover Sheet
☐ Request for Filing a Patent Application under 37 CFR 1.53 (b) (____ pages)
☐ Request for Filing a Patent Application under 37 CFR 1.63 (d) (____ pages)
☐ Patent Application (____ total pages)
 ☐ Provisional, ☐ New Nonprovisional,
 ☐ Continuation, ☐ Divisional, ☐ CIP,
 ☐ PCT; ☐ _____
 ____ cover page
 ____ pages of specification
 ____ pages of ____ number of claims
 ____ pages of abstract
☐ Drawings (____ sheets)
☐ Declaration(s) with Power of Attorney (____ pages)
☐ Check(s) in Amount of \$ _____
- ☐ Assignment(s) (____ pages)
☐ Assignment Cover Sheet(s) (____ pages)
☐ Small Entity Statement (____ pages)
☐ Number of Priority Document(s)
☐ Response to Notice to File Missing Parts (____ pages)
☐ Copy of Notice to File Missing Parts Form PTOL-833
☐ Information Disclosure Statement (____ pages)
 ☐ PTO Form 1449 (____ pages)
 ☐ Number of Documents
☐ Petition for a ____ Month Extension of Time
☐ Preliminary Amendment (____ pages)
☐ Amendment (____ pages)
☐ Notice of Appeal (____ pages)
☐ Appeal Brief in Triplicate (____ pages each)
☐ Issue Fee Transmittal PTOL-85B & Copy
☐ Maintenance Fee Transmittal (____ pages)
☐ Request for Continued Examination

Request for Refund of \$
5000 attached

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4114+2544

1114+2544

PATENT APPLICATION

Attorney Docket No.: 14-694

Client: 4254

Applicant(s): Dowry et al.Title: Device for System, Data CenterSerial No.: 097190406, Filed: 11/15/00, Patent No.: 62865Mailing Date: 1/25/01, Initials: 3 KB

Receipt is hereby acknowledged of the following:

☐ Transmittal (___ pages)☐ Provisional Application for Patent Cover Sheet☐ Request for Filing a Patent Application
under 37 CFR 1.60 (___ pages)☐ Request for Filing a Patent Application
under 37 CFR 1.62 (___ pages)☐ Patent Application (___ total pages)☐ Provisional, ☐ New Nonprovisional,☐ Continuation, ☐ Divisional, ☐ CIP,☐ PCT, ☐ _____

___ cover page

___ pages of specification

___ pages of ___ number of claims

___ pages of abstract

☐ Drawings (___ sheets)☒ Declaration(s) with Power of Attorney
(___ pages)☒ Check(s) in Amount of \$ 110-120-☐ Assignment(s) (___ pages)☐ Assignment Cover Sheet(s) (___ pages)☐ Small Entity Statement (___ pages)☐ ___ Number of Priority Documents☒ Response to Notice to File Missing Parts
(___ pages)☒ Copy of Notice to File Missing Parts
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& HEINKE CO., L.P.A.
SUITE 1750
1100 SUPERIOR AVENUE
CLEVELAND, OHIO 44114-2518**

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PATENT APPLICATION

Applicant(s): W. W. W. W. W.

Title: Variable System in a Nutshell

Serial No.: _____

Mailing Date: 11/15/90, Filed: _____

Receipt is hereby acknowledged of the following:

☒ Transmittal (2 pages)

☐ Provisional Application for Patent Cover Sheet

☐ Request for Filing a Patent Application under 37 CFR 1.80 (____ pages)

☐ Request for Filing a Patent Application under 37 CFR 1.82 (____ pages)

☒ Patent Application (40 total pages)

☐ Provisional, ☒ New Nonprovisional,

☐ Continuation, ☐ Divisional, ☐ CIP,

☐ PCT, ☐ _____

32 cover page

32 pages of specification

32 pages of _____ number of claims

1 pages of abstract

☒ Drawings (2 sheets)

☒ Declaration(s) with Power of Attorney (2 pages) unrelated

☒ Check(s) in Amount of \$ 1000

Attorney Docket No.: 14-154

Client: 7234

Patent No.: _____

Initials: SSK/KB

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☐ Small Entity Statement (____ pages)

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☐ Information Disclosure Statement (____ pages)

☐ PTO Form 1449 (____ pages)

☐ _____ Number of Documents

☐ Petition for a _____ Month Extension of Time

☐ Preliminary Amendment (____ pages)

☐ Amendment (____ pages)

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☐ Appeal Brief in Triplicate (____ pages each)

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